# Revising the CSA Respirator Standard

Simon Smith March 2024

## Definitions

- Personal Protective Equipment (PPE)
  - A general collective term for device that provide protection including gowns, earplugs, safety glasses, safety boots, face coverings and respirators
- Respirator
  - Specific meaning: equipment that provides respiratory protection and is approved – by testing and qualification of manufacturing operations – to nationally or internationally recognised standards
- Mask
  - General term used for face coverings that includes both respirators and any other face covering











### Recap – Some Respiratory Protection Fundamentals



### Filtration

Multiple mechanisms, Laws of physics apply



### **Function**

Easy to breathe through, comfortable to wear?



OK for communication and other work functions?





### **Canadian Occupational Health & Safety Regulation**

- Provincial/Territorial and Federal legislation govern health and safety
  - Regulations may mandate compliance with standards or cite them as a best practice
  - Approval of equipment performance capability by US National Institution for Occupational Safety and Health (NIOSH) is required
- Standards Council of Canada (Fed Gov't) certifies Standards Development Organisations (SDOs)
- Canadian Standards Association (CSA Group), is a not-for-profit SDO covering occupational health and safety
  equipment performance and selection guidance with standards setting and product testing
- Note that Health Canada (Fed Gov't) approves medical equipment for use in Canada

Standard No.	CSA Z94.4	CSA Z94.4.1	CSA Z180.1	CSA/CGSB Z1610	CSA Z1640			
Title	Selection, Use and Care of Respirators	Performance of Filtering Respirators	Compressed Breathing Air and Systems	Protection of First Responders from CBRN Events	PPE for Investigating and Dismantling Clandestine Drug Laboratories			
Target Community	General workforce	General workforce	General workforce	Emergency responders and medical staff	Law enforcement, firefighters, municipal workers and contractors			
Latest Issue Date	2018	2021	2019	2011	2018			
Selection/Usage Guidance Performance Requirements								

#### **Standards Addressing Respiratory Protection**

## Pandemic/Respiratory-Related Activities

Start of Pandemic:

- Respirator shortages in healthcare; no national filtering facepiece manufacturers
- Stability of external respirator supplies to Canada becomes a concern
- New Canadian operations want to manufacture but need NIOSH approval (same as last 50 years)
- NIOSH changed prioritization to favour US manufacturers

#### Health Canada creates Interim Orders

- 1. Permits healthcare use of respirators with any type of certification (March 2020)
- 2. Issues a specification to approve certain respirator types for use in healthcare product performance requirements, manufacturer quality requirements (August 2020)
- CSA Group tasked to create a new respirator performance standard as part of a national certification process – started late 2020, published October 2021

 CSA Group updates its established standard for selection, use and care of respirators to accommodate this new standard and Covid-related factors – started late 2022, in progress



Health Canada Santé Canada



### **CSA** Process

- CSA Group
  - Establishes the need for, develops and markets standards
  - Conducts testing for product certification
- Standards undergo review and periodic updates
- CSA manages standards committees which have a mandatory balanced mix of stakeholders
- Committees meet regularly and may invite experts and other relevant parties to provide input

Public

Comment

Finalization

Committee

Review

Development Stages:

Seed Document

+

**Public Input** 

Existing

Version



### **Current** Interests

- CSA Z94.4.1 "Performance of Filtering Respirators" developed 2020-2021
  - Supported an urgently needed new Canadian respirator certification system
  - Created by sub-group of CSA Z94.4 committee with added experts
  - CSA established test capability to certify products to the standard
- CSA Z94.4 "Selection, Use and Care of Respirators"
  - First issued 1982, supports workplace use of respirators under legislation, last updated 2018
  - Updating in progress over 2023
  - Multiple working groups, frequent meetings, including invitation of front-line physicians, nurses, hospital support workers, bioaerosol, ventilation and control banding experts
  - Now at public comment stage



## Canadian standard CSA Z94.4

Supports respirator use in workplaces as covered by Federal, Provincial or Territorial Regulation

Covers equipment from disposable facepieces to SCBA

Addresses selection, use and care and is considered a best practice for workplaces to follow

Areas include:

- Respiratory protection programmes
- Medical clearance for prospective wearers
- Hazard and risk assessment
- Selection guidance for appropriate level of protection and type
- Fit testing
- Cleaning, inspection, maintenance and storage of equipment
- Qualifications, training and recordkeeping

CSA Z94.4 was the first standard in the world (2011) to include a systematic guidance protocol for respirator selection for airborne biological hazards

But... the standard has been minimally referenced in guidance from government or medical organisations during the pandemic

#### https://community.csagroup.org/docs/DOC-121294



### CSA Z94.4 Update Work: Key Points – General Needs

Fit Testing

New abbreviated fit test protocol New form for fit test documentation





Barriers to Accessibility New section addressing under-served users, special needs and employer strategies for accommodation

Guidance for the Healthcare Community New section for healthcare user guidance Highlights relevant parts of the standard Links to healthcare facility ventilation standard CSA Z317.2

Other considerations for healthcare operations

#### Proposal

A companion guide to aid healthcare operations in establishing and maintaining respiratory protection programmes and using risk assessment and selection guidance



## **General Respirator Selection**

Incorporates respirator classes CSA Z94.4.1 performance standard, and new NIOSH "PAPR" classes for particle filtering respirators

Particle Efficiency (Aerosol at Most Penetrating Particle Size) ≥95.00% ≥99.00% ≥99.97% Type **CSA CSA** NIOSH NIOSH NIOSH CSA CA-N95-100Pa N95 **CA-N99** N99 N100 Non-Oil CA-N95-175Pa CA-N100 CA-N95-343Pa FDA-cleared + CA-N95F-100Pa Non-Powered Non-Oil CA-N99F **CA-N100F** CA-N95F-175Pa NIOSH tests +"Surgical" CA-N95F-343Pa Surgical N95 Oil "Resistant" **CA-R95** R95 **CA-R99** R99 CA-R100 R100 "Oil-Proof" **CA-P95** P95 **CA-P99** P99 CA-P100 P100 rticle hazard ratio >1 ( PAPR Non-Oil ection "BIO" LEVEL CA-PAPR100-N PAPR100-N Powered spirator selected Figure 2? HE ΗE PAPR Oil-Proof CA-PAPR100-P PAPR100-P tigh dust environmen Airborne oil presen NIOSH [2020]. Filtering facepiece respirators with an exhalation Select HE class particulate filter valve: measurements of filtration Select any R or F efficiency to evaluate their particulate filter Select any N, R or P particulate filter PAPR100-P class potential for source control. narticulate filte Portnoff L, Schall J, et. al. DHHS (NIOSH) Publication No. 2021-107.

Full-facepiece/ 75

Helmet/hood

### New section on selection considerations beyond protection level

Comfort, Fluid

Resistance

Comparative Operational Costs for Vericos Respirate





## Single-use or re-usable respirators

#### **Exhalation Valves**

DOI: https://doi.org/10.26616/NIO

SHPUB2021107external icon



6,000

5,000

Figure 1. Simulations of particle exhalation through an FFR without an exhalation valve (left), an FFR with an exhalation valve (center), and a barrier face covering (right). Density of particles near the face represents particles remaining inside the mask.

## **Risk Assessment for Biological Aerosols**

Proposed change from descriptive text to a systematic control banding method using "Source-Pathway-Receiver" model with 3-level graded output Changes recognising latest research and new factors such as

- Comparative impact of "Aerosol Generating Procedures" versus speaking, coughing on aerosol generation
- Respirator user susceptibility



## **Respirator Selection for Biological Aerosols**

#### **Changes to Selection Inputs**

- Enhanced micro-organism risk group scale
- New generation input rationale
- Single but expanded control level scale

#### **Changes to Selection Outputs**

- Revision of algorithm determining protection levels ٠
- Range of protection levels for typical healthcare needs • simplified

Generation band G1 G2 G3 G4 0 0 0 0 0 0 0 1 1 1

0 1

0 1 1 1

1 1 1 1

1 1

1 1

2 2

1 2

Healthcare	R	Starting Biosafety Ris Group	ik Bas	e Description	Qualifying factors*		Risk and control band	Description
Car Feine The The The The The The The The The The	R1	RG1		d with disease or se health effects in humans	Use only if high probability of no effects on exposed workers	adverse	R1 C1 C2 C3	Not known to cause infection: may cause adverse effect Very High Ventilation (>12 ACH) High Ventilation 6-12 ACH Medium Ventilation 4-6 ACH
radiento health rely service and for R2 C2 1 G3 1 C3		G	Healthcare V	Norkplace	Public Workplace	General Workplace	C4	Low Ventilation 1-3 ACH, Close Contact
	R2L						C5	Unventilated <=1 ACH
Standard draw of the first draw of the month draw of the month draw of the month draw of the month draw of the month draw of the month draw of the month draw of the mont	KZL		Patients, co-worl patients wearing	,	Low density of public or co- workers present, generally	Operations in enclosed systems with precautions to	R2L C1 C2	Rarely serious, prevention/therapy available Very High Ventilation (>12 ACH) High Ventilation 6-12 ACH
Harman Saman Sa Harman Saman Sa Harman Saman Sa Harman Saman Sa Harman Saman Sa Harman Saman Sa Harman Saman Sama Harman Saman Sama Harman Saman Sam Harman Saman Sam		S	ource controls		using source controls	minimize leakage	C3 C4 C5	Medium Ventilation 4-6 ACH Low Ventilation 1-3 ACH, Close Contact Unventilated <=1 ACH
	R2H		Patients, co	ACH Range	Healthcare Workplace Example	General/Public Workplace	R2H C1	Rarely serious, prevention/therapy available, extended pr Very High Ventilation (>12 ACH)
	G2 source con Surgical suite, local extraction	Extraction hoods	C2 C3	High Ventilation 6-12 ACH Medium Ventilation 4-6 ACH				
			Aerosol ge	, 12 Mon	hood	Outdoor moderate wind or higher	C4 C5	Low Ventilation 1-3 ACH, Close Contact Unventilated <=1 ACH
General Workplace	R3L	P	Patients, co C2	6-12 ACH	Negative pressure room, laboratory, autopsy	Indoor with good ventilation, windows open		Serious/Lethal, prevention/therapy possible Very High Ventilation (>12 ACH) High Ventilation 6-12 ACH Medium Ventilation 4-6 ACH
Reference R4 C4 C1 R1		63	ource con			Outdoor light wind	C4	Low Ventilation 1-3 ACH, Close Contact
			neezing			Indoor workplace, typical factory	C5	Unventilated <=1 ACH
			C3 Generation	4-6 ACH	Patient Room	or office space Outdoor still air	R3H C1 C2	Serious/Lethal, prevention/therapy possible, extended pr Very High Ventilation (>12 ACH) High Ventilation 6-12 ACH
A manager 10 C1	R3H	G4 S	ontaining awing in s oilet flush	1-3 ACH	Corridor	Indoor storage room	C3 C4 C5	Medium Ventilation 4-6 ACH Low Ventilation 1-3 ACH, Close Contact Unventilated <=1 ACH
							R4 C1	Serious/Lethal, prevention/therapy not readily available Very High Ventilation (>12 ACH)
	R4	RG4	Causes C5 disease	≤1 ACH	Not applicable	Unventilated building	C2 C3 C4 C5	High Ventilation 6-12 ACH Medium Ventilation 4-6 ACH Low Ventilation 1-3 ACH, Close Contact Unventilated <=1 ACH
$\frac{2\pi m^2}{m^2} = \frac{2\pi}{R^2} = \frac{2\pi}{C^2} + \frac{2\pi}{C^2} +$					Frequent fatalities reported in hit healthy individuals	therto	- Paula	MA .

## **Document Finalization**

 Public Review continues to 18<sup>th</sup> March 2023

1	Previous			Next
	7 Respirator selec	tion		
			Con	nments (=
	7.1 General			
			Con	nments ()
	7.1.1 Limitations	on use		
	Personnel conducting respira respirators under the conditi		and comply with the limitations of the se	elected
	Note: See Annex <u>G</u> for informat	ion on respirator limitations.		
			Con	nments (J
,	7.1.2 Extraordina	ry circumstances in	operations	
	adversely affect the function	of a respirator (e.g., extreme	extraordinary circumstances in the opera cold or radiant heat, hypobaric or hyperb experts (see also CSA Z1010).	
			Con	nments (–

 CSA accepts comments throughout the life of the standard Public Comment website: https://publicreview.csa.ca/Home/Details/5176

After you register, the website provides facilities to provide comments on each section

If needed for comparison, the current version (CSA Z94.4-18) can be viewed at:

https://community.csagroup.org/docs/DOC-121294.

# Thank you and Questions

Simon Smith is a volunteer committee member with CSA and does not represent the organisation officially

Any unattributed photos taken by the author

### New Standard CSA Z94.4.1 "Performance of Filtering Respirators

- Respirator classes comparable with NIOSH types, with "CA-" appended
- Performance requirements aligned with NIOSH requirements with some additions
- Deletions for areas covered by the CSA certification process

NIOSH Requirements for Particle Filtering	Classif Airflow resistance classes for CA-N95	ications Fluid resistant "Surgical" respirators	Non-Performance Requirements in
Respirator performance as in applicable clauses in 42 CFR 84	Requir Quantitative Fit Test	Shelf Life	42 CFR 84 • Application process • Administration
IMPORTANT: Certification to the	Cleaning Statement	Securing Mechanisms	Manufacturer quality control & documentation
42 CFR 84 IMPORTANT: Certification to the CSA performance standard is not a grant of NIOSH approval nor a substitute for it	Vision Mfr Assessment of Reliability	Biocompatibility Non-preconditioned efficiency tests	These are addressed by other components of the
outside of Canada	Measurements versus general statements	Applying uncertainty of measurement	Canadian Certification System

## Fit testing

#### NIOSH

- Qualitative isoamyl acetate ("banana oil") test for respirator approval, <u>except</u> for filtering facepieces
- NIOSH has conducted extensive research and contributed to a new ASTM Standard (F3407-20) for a quantitative fit method for half-facepieces, but this is not yet part of the approvals protocol



#### CSA Z94.4.1

- New protocol for tight-fitting respirators based on the quantitative fit testing method in the CSA Selection, Use and Care standard CSA Z94.4-18 Annex C
- Bivariate panel, Minimum 25 test subjects with 2 to 5 per cell across size range and minimum 30% of one sex
- Standard breathing, movement and speaking exercises in a chamber with controlled particle concentration



## **Comfort and Breathability**

- Ergonomic and comfort assessment introduced along with fit test measurement
- Breathability identification added to CA-N95/CA-N95F respirators in three classification levels, based on maximum observed resistance value of the set of respirators submitted



# "Surgical" respirators

#### NIOSH+FDA

- "Surgical" designation is added only to N95-type respirators
- FDA sets requirements according to ASTM standard methods originally established for surgical masks:
  - Biocompatibility to ISO 10993
  - Biological filtration
  - Flammability resistance to U.S. Standard 16 CFR 1610.7
  - Fluid resistance to ASTM F1862
- ASTM F1862 fluid resistance test has three levels (derived from human blood pressure ranges) – test involves squirting simulated blood at the respirator and visual examination of penetration
- For surgical respirators, it is not specified which level must be met (though recently to show pressure)

### CSA Z94.4.1

- "Surgical" designation can be added to All N-type respirators (with "F" appended to classification)
- Biocompatibility to ISO 10993 is required for ALL respirators covered by the standard, not just "surgical"
- No biological filtration efficiency requirement, as the N-type efficiency test is worst case
- Same flammability resistance requirement
- Fluid resistance testing to ASTM F1862 Level 3
  - CSA Z94.4.1 includes the highest (worst case) of the ASTM levels only
  - Rationale is to keep selection decisions as simple as possible

Note: Health Canada included biocompatibility, fluid and flammability resistance for "surgical" categories of respirator in its 2020 specification

## Fit testing and Comfort

#### NIOSH

- Qualitative isoamyl acetate ("banana oil") test for respirator approval, <u>except</u> for filtering facepieces
- NIOSH has conducted extensive research and contributed to a new ASTM Standard (F3407-20) for a quantitative fit method for half-facepieces, but this is not yet part of the approvals protocol
- No comfort assessment requirement in 42 CFR 84



#### CSA Z94.4.1

- New protocol for tight-fitting respirators based on the quantitative fit testing method in the CSA Selection, Use and Care standard CSA Z94.4-18 Annex C
- Bivariate panel, Minimum 25 test subjects with 2 to 5 per cell across size range and minimum 30% of one sex
- Standard breathing, movement and speaking exercises in a chamber with controlled particle concentration
- Assessment of comfort by test subjects is adopted from CSA Z94.4-18; excessive discomfort rating results in failure







## Shelf Life

- Shelf life is the the time a respirator or respirator component can be stored without performance deterioration below the applicable requirements of the standard prior to use – when stored in accordance with information supplied by the manufacturer
- NIOSH has no shelf life requirement for non-CBRN respirators in its standard, though many manufacturers set one
- CSA Z94.4.1 introduces a shelf life requirement for:
  - Filters
  - Integrated respirators types where the filter is permanently attached or incorporated into the respirator which includes filtering facepieces
- The proposed standard specifies:
  - That manufacturers validate the provided shelf life in some way, and provide documentation of the validation method
  - Marking requirements for shelf life
  - Information from the manufacturer addressing shelf life

#### The proposed standard does NOT specify:

- Requirements for a shelf life duration
- Methods for validating shelf life
- Evaluation of the validation methods submitted
- Methods for shelf life extension

#### • Manufacturers may optionally set a shelf life for other components

- Shelf life may be expressed as either:
  - A manufacture date plus a pre-use storage duration
  - An expiration date (to nearest month)





## Cleaning

- The pandemic brought into effect the need for re-use of respirators designed as single-use
- Various methods were developed with chemical, heat/steam or radiation-based processes for decontamination
- There was extensive discussion on cleaning and re-use during document development, but it was concluded that a respirator performance standard could not require manufacturers to anticipate every method that might be developed, nor to set re-use criteria for products not designed for re-use

The cleaning requirements are:

- The manufacturer shall identify at least one cleaning method for re-usable respirators and, where applicable, components.
- The manufacturer may additionally identify at least one sanitization or disinfection method.
- If there are commonly available cleaning, sanitization, or disinfection methods that are known to have an adverse effect on the respirator performance or integrity, immediately or with repeated use, these shall be identified.
- Note: For respirators designed and/or intended for use in a healthcare environment, other requirements for sanitization or disinfection might apply and should be observed by the manufacturer

# Securing mechanisms for respiratory interface

#### NIOSH

- "...All facepieces must be equipped with head harnesses designed and constructed to provide adequate tension during use and an even distribution of pressure over the entire area in contact with the face".
- Facepiece head harnesses, except those employed on filtering facepiece respirators must be adjustable and replaceable.

### CSA Z94.4.1

- Respirator mounted on a headform or equivalent fixture, each strap of the harness, buckles, and other adjusting means shall withstand the following forces per attachment point in the direction of pulling when the respirator is donned for 10 seconds:
- Single-Use Respirators: 10 N, following EN 140
- Re-usable half-facepiece respirators: 50 N, following EN 140
- Re-usable full-facepiece respirators: 100 N following EN 136
- No breaks or sliding of the straps, buckles or attachment lugs
- During and after force is applied, test fails on breakage, tearing, separation from the point of fixation to the respirator body, permanent deformation (such as loss of elasticity) remaining after 4 h or other obvious loss of function

### Vision (Actually no change in Canada)

#### NIOSH

 "Respiratory inlet coverings must be designed and constructed to provide adequate vision which is not distorted by the eyepieces"

#### CSA Z94.4.1

- Incorporates relevant parts of CSA Z94.3 "Eye and face protectors" for vision characteristics – adherence to which is already a requirement in Canada
- Requires quantitative assessment of visual distortion

## Biocompatibility

#### NIOSH

 "Respirator components which come into contact with the wearer's skin shall be made of nonirritating materials"

#### CSA Z94.4.1

 Applies requirements in ISO 10993 "Biological evaluation of medical devices" to all respirators

### Other points

- Failure Mode Effects Analysis is required as part of product development
- Application of process for uncertainty of measurement where measurement precision overlaps with the pass/fail margin
- Use of non-preconditioned samples for N-type filter efficiency measurement (arises from National Research Council studies)
- Hydration device flow rate (based on ISO requirements)
- Airflow resistance range calculation to determine use of pro-rated test flow rates in respirators with multiple filters (based on ISO/EN requirements)
- Flow checking device to be provided for PAPRs (not in NIOSH but a universal industry practice)



### Comparison with NIOSH Respirator Classes

Additional classes with low airflow resistance and fluid resistant categories

			Particle Efficie	ency (Aerosol at l	Most Penetrating	Particle Size)			
	Туре	≥95.	00%	≥99.	00%	≥99.97%			
		CSA	NIOSH	CSA	NIOSH	CSA	NIOSH		
	Non-Oil	CA-N95-100Pa CA-N95-175Pa CA-N95-343Pa	N95	CA-N99	N99	CA-N100	N100		
Non-Powered	Non-Oil +"Surgical"	CA-N95F-100Pa CA-N95F-175Pa CA-N95F-343Pa	FDA-cleared + NIOSH tests Surgical N95	CA-N99F		CA-N100F			
Non-P	Oil "Resistant"	CA-R95	R95	CA-R99	R99	CA-R100	R100		
	"Oil-Proof"	CA-P95	P95	CA-P99	P99	CA-P100	P100		
Powered	PAPR Non-Oil					CA-PAPR100-N	PAPR100-N		
Pow	PAPR Oil-Proof					HE CA-PAPR100-P	HE CA-PAPR100-P		

## The Future?

Content of the Standard	<ul> <li>CSA Standards are created, regularly reviewed and updated by an expert committee of stakeholders – closer to European or Australian approaches than NIOSH – so the standard could change over time</li> <li>Proposals for discussion for the next update, work possibly starting 2025 <ul> <li>Add Scope 2 – gas and vapour filtering respirators (with Scope 3 CBRN and atmosphere-supplying respirators in future updates)</li> <li>Additional particle filter efficiency and breathability classes</li> <li>Respirator re-use and recycling</li> <li>Bioburden (cleanliness) test requirement for "F"-type "surgical" respirators</li> <li>Communication</li> <li>Practical performance tests to demonstrate respirator capability for particular occupations</li> </ul> </li> <li>Test methods <ul> <li>Review particle tests</li> <li>Dedicated test methods in place of cited NIOSH "Standard Test Protocols"</li> </ul> </li> </ul>
Alignment	• Update CSA Z94.4 "Selection, Use and Care of Respirators" to reference Z94.4.1 and align terminology
Certification Practicalities	<ul> <li>CSA has set up a test capability for non-powered particle filtering respirators</li> <li>But for powered air and future gas/vapour requirements, testing by third-party laboratories will be required – again a more European approach than NIOSH</li> </ul>

### Reference List

- CSA Z94.4-18 "Selection, Use and Care of Respirators" viewable version: <u>https://community.csagroup.org/docs/DOC-121294</u>
- CSA Z94.4.1-21 "Performance of Filtering Respirators" viewable version: https://view.csagroup.org/K6UbmP
- IRSST Respirator selection for biological aerosols control banding on-line tool: <u>https://www.irsst.qc.ca/bioaerosol/default.aspx?</u>
- Health Canada Guidance: <u>https://www.canada.ca/en/health-canada/services/drugs-health-products/covid19-industry/medical-devices/personal-protective-equipment/medical-masks-respirators/safety-performance-specifications.html

  </u>
- ISO Standards addressing respiratory protection: <u>https://www.iso.org/committee/291088/x/catalogue/p/1/u/0/w/0/d/0</u>
- Electronic version of NIOSH Standard 42 CFR 84: https://www.ecfr.gov/cgi-bin/text-idx?SID=1e52f48c2b606c31f3df95baa80cc78e&mc=true&node=pt42.1.84&rgn=div5
- NIOSH-approved respirators can be searched on the Certified Equipment List: https://www.cdc.gov/niosh/npptl/topics/respirators/cel/default.html
- Searchable list of CSA Approved products (for respirators approved to the new standard, use class number "7204-03"): <u>https://www.csagroup.org/testing-certification/product-listing/</u> (note no products listed at time presentation)
- US National Institutes of Health "Guidelines for Research Involving Recombinant DNA Molecules": https://osp.od.nih.gov/wp-content/uploads/NIH\_Guidelines.pdf
- Studies from the National Academies of Sciences, Engineering and Medicine on various aspects of respirator testing and use provide useful background: https://www.nationalacademies.org/our-work/standing-committee-on-personal-protective-equipment-for-workplace-safety-and-health

All of these are available in English and French

Aspect	Detail	NIOSH	CSA
	General and construction requirements	Requirements	Requirements
	Wearer compatibility and safety	General	Specific
	Compliance with other standards	and the	Specific
	Respiratory Interfaces	General	General
All Types	Integrated eye protection	General	Specific
Performance	Fit, Fit factor requirements, Fit testing	Qualitative	Quantitative
	Valves – inhalation and exhalation	Specific	Specific
		General	Specific
	ents – criteria defined for aspects which must be present which are	Specific	Specific
general or	with details set by the manufacturer	General	General
No General –	Statement about the feature with no measured criteria	Specific	Specific
		Specific	Specific
specific –	sets a performance requirement measuring physical characteristics		Specific
Qualitativ	e – test based on a subjective sensation by wearer	Specific	Specific
Po Quantitat	ve – test based on measurement	Specific	Specific
- /		Specific	Specific
purifying	Noise and Communication	Specific	Specific
respirators	Warning devices	Specific	Specific
	Flow checking device		Requirements
1 Marsh	Shelf life	an and the	Requirements
All Types	Cleaning of re-usable respirators	J N K K	Requirements
Additional	Assessment of reliability		Requirements
	Marking and Information supplied by the manufacturer	Requirements	Requirements



## **Risk and Generation Band Changes**

Risk Level R	Starting Biosafety Risk Group	Base Description	Qualifying factors*
R1	RG1	Not associated with disease or serious adverse health effects in healthy adult humans	Use only if high probability of no adverse effects on exposed workers
R2L	RG2	Associated with rarely serious occurrence of human disease or adverse health effects	Preventive/therapeutic intervention available, applied and effective Low community spread
R2H	RG2	Associated with rarely serious occurrence of human disease or adverse health effects	Preventive/therapeutic intervention inadequate or unavailable Identified receiver sensitization Medium/high community spread Serious health effects noted in some cases in worker population
R3L	RG3	Associated with serious or lethal human disease or adverse health effects	Preventive/therapeutic intervention available, applied and effective Low community spread No fatalities in hitherto healthy individuals
R3H	RG3	Associated with serious or lethal human disease or adverse health effects	Preventive/therapeutic intervention inadequate or unavailable Medium/high community spread Fatalities reported in hitherto healthy individuals
R4	RG4	Causes serious or lethal human disease or adverse health effects	Preventive/therapeutic intervention inadequate or unavailable High probability of infection Frequent fatalities reported in hitherto healthy individuals

G	General Description	Contributors
G1	Minimal aerosolization	Not close contact, local ventilation, low source density (i.e. small size or number of sources in volume)
G2	Low aerosolization	Close contact, general ventilation only, low source density
G3	Moderate aerosolization	Close contact, general ventilation only, high source density
G4	High/Very High aerosolization	Close contact, general ventilation only, high source density

#### Examples provided for workplaces

G	General Description	Healthcare Example	Public Workplace Example	General Workplace Example
G2L	Low aerosolization	Single patient inactive with mouth covered Non-patients not wearing effective source controls Patient close to extraction ventilation Far-field exposure	Low density of public /co-workers present generally using source controls	Open operations with minimal generation or disturbance and effective source extraction (e.g. a HEPA vacuum cleaner)

\*Any one of the qualifying factors listed should trigger "H" level assignment

## Analysis of Existing Guidance

nterpretation current Healthcare wheel into Excel spreadsheet

Agent Type			CS,	A Z	94.4	-18
	1		G1	G2	G3	G4
-	3		0	1	1	2
Corridor/Patient room (3-6 ACH)	6		0	1	1	1
Negative Pressure/Laboratory/Autopsy (>6-12 ACH)	12		0	0	0	1
Surgery (>12 ACH)	25		0	0	0	0
Rarely serious, prevention/therapy available	2					-
Poorly Ventilated (<3 ACH)	3		1	1	1	3
Corridor/Patient room (3-6 ACH)	6		1	1	1	1
Negative Pressure/Laboratory/Autopsy (>6-12 ACH)	12		1	1	1	1
Surgery (>12 ACH)	25		1	1	1	1
Serious/Lethal, prevention/therapy possible	3					
Poorly Ventilated (<3 ACH)	3		1	1	2	4
Corridor/Patient room (3-6 ACH)	6		1	1	1	2
Negative Pressure/Laboratory/Autopsy (>6-12 ACH)	12		1	1	1	1
Surgery (>12 ACH)	25		1	1	1	1
Serious/Lethal, prevention/therapy not readily available	4					_
Poorly Ventilated (<3 ACH)	3		1	2	3	4
Corridor/Patient room (3-6 ACH)	6		1	2	2	3
Negative Pressure/Laboratory/Autopsy (>6-12 ACH)	12		1	1	1	2
Surgery (>12 ACH)	25		1	1	1	1
	Negative Pressure/Laboratory/Autopsy (>6-12 ACH) Surgery (>12 ACH) Rarely serious, prevention/therapy available Poorly Ventilated (<3 ACH) Corridor/Patient room (3-6 ACH) Negative Pressure/Laboratory/Autopsy (>6-12 ACH) Surgery (>12 ACH) Serious/Lethal, prevention/therapy possible Poorly Ventilated (<3 ACH) Corridor/Patient room (3-6 ACH) Negative Pressure/Laboratory/Autopsy (>6-12 ACH) Surgery (>12 ACH) Serious/Lethal, prevention/therapy not readily available Poorly Ventilated (<3 ACH) Serious/Lethal, prevention/therapy not readily available Poorly Ventilated (<3 ACH) Corridor/Patient room (3-6 ACH) Negative Pressure/Laboratory/Autopsy (>6-12 ACH) Negative Pressure/Laboratory/Autopsy (>6-12 ACH)	Not known to cause infection: may cause adverse effects       1         Poorly Ventilated (<3 ACH)	Not known to cause infection: may cause adverse effects       1         Poorly Ventilated (<3 ACH)	Agent Type       Image: Constraint of the second seco	Agent Type       Image: Control Cont Control Cont Control Control Control Control Control Cont Control Control Control Cont Control Co	Not known to cause infection: may cause adverse effects         1         G1 G2 G3           Poorly Ventilated (<3 ACH)

- Assign "average" flow to ventilation band (some arbitrariness)
- Average all protection levels outputs for the matrix of R/G combinations
- Upper plot average protection level vs flow based on average ACH
- Lower plot average protection level vs reciprocal of flow expressed as air "residence" time







#### eorganise by ventilation band, and add proposed R and G levels

				CSA Z94.4-18						
C/R	Agent Type									
C1	Poorly Ventilated (<3 ACH)	1	G	51	G2		G3		G4	
			L	Н	L	Н	L	Н	L	Η
R1	Not known to cause infection: may cause adverse effects	R1	0	0	1	1	1	1	2	2
R2	Rarely serious, prevention/therapy available	R2L	1	1	1	1	1	1	3	3
R2	Rarely serious, prevention/therapy available, Extra Prec.	R2H	1	1	1	1	1	1	3	3
R3	Serious/Lethal, prevention/therapy possible	R3L	1	1	1	1	2	2	4	4
R3	Serious/Lethal, prevention/therapy possible Extra Prec.	R3H	1	1	1	1	2	2	4	4
R4	Serious/Lethal, prevention/therapy not readily available	R4	1	1	2	2	3	3	4	4
C2	Corridor/Patient room (3-6 ACH)	2								
R1	Not known to cause infection: may cause adverse effects	R1	0	0	1	1	1	1	1	1
R2	Rarely serious, prevention/therapy available	R2L	0	0	1	1	1	1	1	1
R2	Rarely serious, prevention/therapy available, Extra Prec.	R2H	0	0	1	1	1	1	1	1
R3	Serious/Lethal, prevention/therapy possible	R3L	1	1	1	1	1	1	2	2
R3	Serious/Lethal, prevention/therapy possible Extra Prec.	R3H	1	1	1	1	1	1	2	2
R4	Serious/Lethal, prevention/therapy not readily available	R4	1	1	2	2	2	2	3	3

#### Observe that ranges in the two "wheels" appear on a common line, overlapping

## Proposal for revised algorithm

- No separation of workplace type in tables, but applicable ventilation ranges provided in text
- Modify to PL allocations make an even pattern
  - Note this is a change from calculation method used earlier
- Use five ventilation bands to cover both former ranges
  - Can cover other workplace types as guidance needs only to interpret applicable C range
- Modified outputs in some cases to simplify selection in common healthcare scenarios
- New "average" line consistent with current inputs/outputs

Unventilated Indoor Space

C1

#### **Example Difference Analysis**

•••	ententiated indeer epuee	
R1	Not known to cause infection: may cause adverse effects	
R2	Rarely serious, prevention/therapy available	
R2	Rarely serious, prevention/therapy available, Extra Precautions	
R3	Serious/Lethal, prevention/therapy possible	
R3	Serious/Lethal, prevention/therapy possible Extra Precautions	
R4	Serious/Lethal, prevention/therapy not readily available	

C1	Unventilated Indoor Space	<=1 ACH	G	1	G	2	G	3	G	64
			L	Н	L	Н	L	Н	L	Н
R1	Not known to cause infection: may cause adverse effects	R1	0	0	1	1	1	1	2	2
R2	Rarely serious, prevention/therapy available	R2L	1	1	1	1	1	2	2	3
R2	Rarely serious, prevention/therapy available, Extra Precautions	R2H	1	1	1	1	2	2	3	3
R3	Serious/Lethal, prevention/therapy possible	R3L	1	1	1	2	2	3	3	4
R3	Serious/Lethal, prevention/therapy possible Extra Precautions	R3H	1	1	2	2	3	3	4	4
R4	Serious/Lethal, prevention/therapy not readily available	R4	1	2	2	3	3	4	4	4
C2	Low	1-3 ACH								
R1	Not known to cause infection: may cause adverse effects	R1	0	0	1	1	1	1	1	2
R2	Rarely serious, prevention/therapy available	R2L	1	1	1	1	1	1	1	2
R2	Rarely serious, prevention/therapy available, Extra Precautions	R2H	1	1	1	1	1	1	2	2
R3	Serious/Lethal, prevention/therapy possible	R3L	1	1	1	1	1	2	2	2
R3	Serious/Lethal, prevention/therapy possible Extra Precautions	R3H	1	1	1	1	2	2	2	2
R4	Serious/Lethal, prevention/therapy not readily available	R4	1	1	2	2	3	3	4	4
C3	Mid	4-6 ACH								
R1	Not known to cause infection: may cause adverse effects	4-6 ACH R1	0	0	1	1	1	1	1	1
R2	Rarely serious, prevention/therapy available	R2L	0	0	1	1	1	1	1	1
R2 R2	Rarely serious, prevention/therapy available Rarely serious, prevention/therapy available, Extra Precautions	R2L R2H	1	1	1	1	1	1	1	1
R3	Serious/Lethal, prevention/therapy possible	R3L	1	1	1	1	1	1	1	2
R3	Serious/Lethal, prevention/therapy possible Extra Precautions	R3H	1	1	1	1	1	1	2	2
R4	Serious/Lethal, prevention/therapy possible Exited recodulors	R4	1	1	2	2	2	2	3	3
C4	High	6-12 ACH								
R1	Not known to cause infection: may cause adverse effects	R1	0	0	0	0	0	0	1	1
R2	Rarely serious, prevention/therapy available	R2L	0	0	1	1	1	1	1	1
R2	Rarely serious, prevention/therapy available, Extra Precautions	R2H	1	1	1	1	1	1	1	1
R3	Serious/Lethal, prevention/therapy possible	R3L	1	1	1	1	1	1	1	2
R3	Serious/Lethal, prevention/therapy possible Extra Precautions	R3H	1	1	1	1	1	1	2	2
R4	Serious/Lethal, prevention/therapy not readily available	R4	1	1	1	1	1	2	2	2
C5	Very High	>12 ACH								
R1	Not known to cause infection: may cause adverse effects	R1	0	0	0	0	0	0	0	0
R2	Rarely serious, prevention/therapy available	R2L	0	0	1	1	1	1	1	1
	Rarely serious, prevention/therapy available, Extra Precautions		1	1	1	1	1	1	1	1
R2				1	1	1	1	1	1	1
R2 R3	Serious/Lethal_prevention/therapy.possible	R3I	1							
R2 R3 R3	Serious/Lethal, prevention/therapy possible Serious/Lethal, prevention/therapy possible Extra Precautions	R3L R3H	1	1	1	1	1	1	1	1

#### **Proposal and Current Comparison**



#### Proposal

<=1 ACH	G	51	G	2	G3		G4		
	L	Н	L	Η	L	Н	L	Η	
R1	0	0	1	1	1	1	2	2	
R2L	1	1	1	1	1	2	2	3	
R2H	1	1	1	1	2	2	3	3	
R3L	1	1	1	2	2	3	3	4	
R3H	1	1	2	2	3	3	4	4	
R4	1	2	2	3	3	4	4	4	

G	1	G	2	G	3	G	4	
L	Η	L	Η	L	Η	L	Η	
0	0	1	1	1	1	2	2	
1	1	1	1	2	2	3	3	
1	1	1	1	2	2	3	3	
1	1	2	2	3	3	4	4	
1	1	2	2	3	3	4	4	
2	2	3	3	4	4	4	4	

#### Differences

G	1	G	2	G	3	G	4
Γ	Η	L	Н	L	Η	L	Н
0	0	0	0	0	0	0	0
0	0	0	0	-1	0	-1	0
0	0	0	0	0	0	0	0
0	0	-1	0	-1	0	-1	0
0	0	0	0	0	0	0	0
-1	0	-1	0	-1	0	0	0

## Proposal Layout by Risk Group

#### Current



**General Workplace** 



### Approximate control level (C) conversion

Cur	rent	Durant
HC	GW	Proposal
	C1	C1
C1	C2	C2
C2	C3	C3
C3	C4	C4
C4		C5

#### Proposal

R Level C G1 G2 G3 G4											
R Level	L L	L	Н	L	Н	L	Н	L	Н		
1: Not known to cause infection: may cause adverse effects											
Unventilated <=1 ACH	C1	0	0	1	1	1	1	2	2		
Low Ventilation 1-3 ACH	C2	0	0	1	1	1	1	1	2		
Medium Ventilation 4-6 ACH	C3	0	0	1	1	1	1	1	1		
High Ventilation 6-12 ACH	C4	0	0	0	0	0	0	1	1		
Very High Ventilation (>12 ACH)	C5	0	0	0	0	0	0	0	0		
R2L: Rarely serious, prevention/therapy av	ailable										
Unventilated <=1 ACH	C1	1	1	1	1	1	2	2	3		
Low Ventilation 1-3 ACH	C2	1	1	1	1	1	1	1	2		
Medium Ventilation 4-6 ACH	C3	0	0	1	1	1	1	1	1		
High Ventilation 6-12 ACH	C4	0	0	1	1	1	1	1	1		
Very High Ventilation (>12 ACH)	C5	0	0	1	1	1	1	1	1		
R2H: Rarely serious, prevention/therapy av	/ailable Ext	ra F	Prec	aut	ions	\$					
Unventilated <=1 ACH	C1	1	1	1	1	2	2	3	3		

C1	1	1	1	1	2	2	3	3
C2	1	1	1	1	1	1	2	2
C3	1	1	1	1	1	1	1	1
C4	1	1	1	1	1	1	1	1
C5	1	1	1	1	1	1	1	1
	C2 C3 C4	C2 1 C3 1 C4 1	C2         1         1           C3         1         1           C4         1         1	C2         1         1         1           C3         1         1         1           C4         1         1         1	C2         1         1         1         1           C3         1         1         1         1           C4         1         1         1         1	C2         1	C2         1	C2         1         1         1         1         1         1         1         2           C3         1

#### R3L: Serious/Lethal, prevention/therapy possible

Unventilated <=1 ACH	C1	1	1	1	2	2	3	3	4
Low Ventilation 1-3 ACH	C2	1	1	1	1	1	2	2	2
Medium Ventilation 4-6 ACH	C3	1	1	1	1	1	1	1	2
High Ventilation 6-12 ACH	C4	1	1	1	1	1	1	1	2
Very High Ventilation (>12 ACH)	C5	1	1	1	1	1	1	1	1

#### R3H: Serious/Lethal, prevention/therapy possible Extra Precautions

<b>WH</b>	beneus/Lethal, prevenuoli/dielapy po		uı	100	แนน	0113				
	Unventilated <=1 ACH	C1	1	1	2	2	3	3	4	4
	Low Ventilation 1-3 ACH	C2	1	1	1	1	2	2	2	2
	Medium Ventilation 4-6 ACH	C3	1	1	1	1	1	1	2	2
	High Ventilation 6-12 ACH	C4	1	1	1	1	1	1	2	2
	Very High Ventilation (>12 ACH)	C5	1	1	1	1	1	1	1	1

#### R4: Serious/Lethal, prevention/therapy not readily available

Unventilated <=1 ACH	C1	1	2	2	3	3	4	4	4
Low Ventilation 1-3 ACH	C2	1	1	2	2	3	3	4	4
Medium Ventilation 4-6 ACH	C3	1	1	2	2	2	2	3	3
High Ventilation 6-12 ACH	C4	1	1	1	1	1	2	2	2
Very High Ventilation (>12 ACH)	C5	1	1	1	1	1	1	1	1

### Output: CSA Z94.4 Hierarchy of Respiratory Protection

For filtering respirators: algorithm output recommendation is a respirator at or above the Protection Level (PL) 0-4 for types as shown

